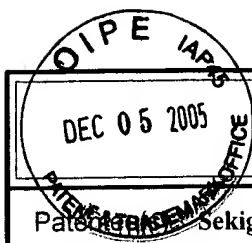


09/878,351

**TRANSMITTAL LETTER**
(General - Patent Issued)Docket No.
05510010AAPatent No. 6,928,541
Patentee: Sekiguchi

U.S. Patent No.

6,928,541

Issue Date

August 9, 2005

**Title: USER-AUTHENTICATION-TYPE NETWORK OPERATING SYSTEM BOOTING METHOD AND SYSTEM
UTILIZING BIOS PREBOOT ENVIRONMENT**COMMISSIONER FOR PATENTS:

Transmitted herewith is:

1 petition for Certificate of Correction**Copy of assignment recordation; copy of PTOL-85 dated 6-27-05; copy of stamped postcard; copy of check # 8180;****Notarized statement from Patent Specialist****postcard + copy****1 transmittal letter**

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account 50-2041 as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.
Signature

Dated: 12-5-05

Olga V. Merkoulouva
Reg. No. 48,757
Whitham, Curtis & Christofferson P.C.
11491 Sunset Hills Road
Suite 340
Reston, Va. 20190
703-787-9400

Customer No.: 30743

CC:

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(Date)

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence



Best Available Copy

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent of

Sekiguchi

Serial No.: 6,928,541

Issued: August 9, 2005

For: **USER-AUTHENTICATION-TYPE NETWORK OPERATING SYSTEM
BOOTING METHOD AND SYSTEM UTILIZING BIOS PREBOOT
ENVIRONMENT**

Mail Stop Petition
Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

PETITION UNDER 37 CFR §1.183

A Request for Issuance of Certificate of Correction, requiring to add an assignee name on the first page of the above-identified patent, filed on September 14, 2005, has been denied by the Office. The Office explains that the assignee name has not been presented by the Applicant on the Issue Fee Transmittal Form PTOL-85B. However, a copy of PTOL-85B in the Office's record is not the paper which the Applicant submitted to the Office. Applicant respectfully disagrees and petitions to the Office that the originally submitted papers have the proper assignee identification and the Office's copy of PTOL-85B is not a copy of the Issue Fee Transmittal Form submitted by the Applicant. Therefore, the Applicant again respectfully requests to reconsider this matter and issue a Certificate of Correction in order to record the assignee name on the first page of the patent based on the attached papers:

1. A copy of the Notice of Recordation of Assignment Document, reflecting the reel and frame number where the assignment is recorded and presents a proof of the date of assignment.

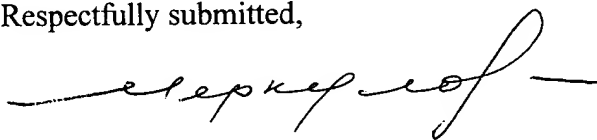
2. A copy of the Issue Fee Transmittal Form PTOL-85B with a copy of the postcard receipt as it was originally submitted to the Office on June 28, 2005.

3. A copy of the check to pay for the Issue Fee and publication which cleared attorney's Deposit Account 50-2041 on July 6, 2005.

4. A statement from Patent Specialist Linda Handler, who filed the Issue Fee papers in the US PTO for the above-identified patent.

The Commissioner is authorized to charge the petition fee on attorney's Deposit Account 50-2041 (Whitham, Curtis & Christofferson, P.C) However, the Applicant believes that no fees are due since the above-described problem is an entire Office mistake.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Olga V. Merkoulouva', with a long horizontal flourish extending to the right.

Olga V. Merkoulouva
Registration No. 48,757
703-787-9400 - Phone
703-787-7557 - fax
Customer No.: 30 743



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

AUGUST 25, 2001

PTAS



101753537A

MCGUIREWOODS LLP
MICHAEL E. WHITHAM, ESQ.
1750 TYSONS BOULEVARD
SUITE 1800
MCLEAN, VA 22102

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/12/2001

REEL/FRAME: 011893/0515
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
SEKIGUCHI, YUTAKA

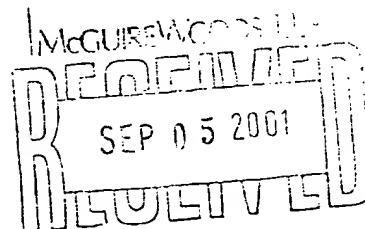
DOC DATE: 06/01/2001

ASSIGNEE:
NEC CORPORATION
7-1, SHIBA 5-CHOME, MINATO-KU
TOKYO, JAPAN

SERIAL NUMBER: 09878351
PATENT NUMBER:

FILING DATE: 06/12/2001
ISSUE DATE:

SHARON LATIMER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS





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Docket No. 05510010.4A In re: ✓ patent/___ trademark application of
Applicant(s) Sekiguchi
Serial No. 09/878,351 Date Filed 6-19-01
Papers filed herewith on 6-28-05
☒ Fees \$___ Deposit Account No. (if applicable) ___
(___ filing fee; ___ Assignment charge; ___ Extension of Time;
1400 issue fee/advance copies; 300 other ___)
___ Amendment ___ Notice of Appeal ___ Appeal Brief
___ Sheets of ___ Drawings ___ Proposed Drawing Corrections (w/___ drawings)
___ Change of Address ___ Request for Extension of Time
___ Assignment ___ Recordation Form Cover Sheet
___ Information Disclosure Statement ___ PTO-1449 and associated art (___ docs.)
___ Priority Document(s) ✓ Other Issue Fee
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PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail**

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (703) 746-4000



INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

30743 7590 04/11/2005

WHITHAM, CURTIS & CHRISTOFFERSON, P.C.
11491 SUNSET HILLS ROAD
SUITE 340
RESTON, VA 20190

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/878,351	06/12/2001	Yutaka Sekiguchi	NEG-208US	2183

TITLE OF INVENTION: USER-AUTHENTICATION-TYPE NETWORK OPERATING SYSTEM BOOTING METHOD AND SYSTEM UTILIZING BIOS PREBOOT ENVIRONMENT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	07/11/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
BUTLER, DENNIS	2115	713-002000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Whitham, Curtis &
 Christofferson, PC

2 _____
 3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

NEC Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Tokyo, Japan

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
☒ Publication Fee (No small entity discount permitted)
☒ Advance Order - # of Copies 2

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.
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☒ The Director is hereby authorized by charge the required fee(s)/or credit any overpayment, to Deposit Account Number 50-2041 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature Michael E. WhithamDate June 27, 2005Typed or printed name Michael E. WhithamRegistration No. 32,635

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Patent No. 05510010.4A In re: ☒ patent/ ☐ trademark application of

Applicant(s) Sekiguchi

Serial No. 09/878,351 Date Filed 6-19-01

Papers filed herewith on 6-28-05

☒ Fees \$ 1400 Deposit Account No. (if applicable)
(filing fee; Assignment charge; Extension of Time;
 issue fee/advance copies; 300 other)

☐ Amendment ☐ Notice of Appeal ☐ Appeal Brief
☐ Sheets of Drawings ☐ Proposed Drawing Corrections (w/ drawings)
☐ Change of Address ☐ Request for Extension of Time
☐ Assignment ☐ Recordation Form Cover Sheet
☐ Information Disclosure Statement ☐ PTO-1449 and associated art (docs.)
☐ Priority Document(s) ☒ Other Issue fee

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09/878,351 (05510010.4A) issue fee + pub. fee

8180

WHITHAM, CURTIS & CHRISTOFFERSON, P.C.

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Commissioner of Patents & Trademarks

Catherine W. Richardson

⑈008180⑈ ⑆056009039⑆

2532513⑈



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent of

Sekiguchi

Serial No.: 6,928,541

Issued: August 9, 2005

For: **USER-AUTHENTICATION-TYPE NETWORK OPERATING SYSTEM
BOOTING METHOD AND SYSTEM UTILIZING BIOS PREBOOT
ENVIRONMENT**

Mail Stop Petition
Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

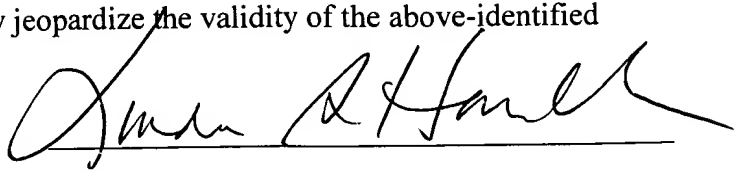
DECLARATION OF LINDA HANDLER

The undersigned declares as follows:

- 1) Linda Handler is a Patent Specialist at Whitham, Curtis & Christofferson, P.C. Linda Handler has been employed with Whitham, Curtis & Christofferson, P.C. in the Intellectual Property Department since 1996.
- 2) Whitham, Curtis & Christofferson, P.C. has the following Issue Fee payment filing procedure. The Issue Fee Transmittal Form, part B is filled up in points 2 (Attorneys name), 3 (Assignee Name), 4 (Which payments are included), and signed by an authorizing person. Specifically, for the above-identified patent the form had the Name of Assignee : NEC Corporation and has been authorized by Michael E. Whitham (Registration No.: 32,635) on June 27, 2005.
- 3) I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are

punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and such willful false statements may jeopardize the validity of the above-identified patent.

Date: 12/1/05

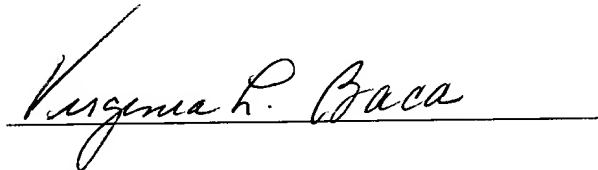
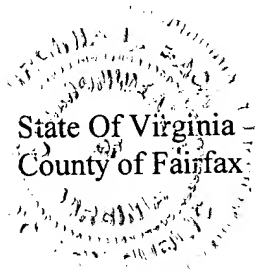


Linda S. Handler

Patent Specialist

Whitham, Curtis & Christofferson, P.C.

On this 1st Dec., 2005, before me personally came Linda S. Handler personally known, and be known to me to be the person described in and who executed the foregoing declaration, and she executed the same as her free act and deed.



Notary

My commission expires: 6/30/07

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